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(54) **DEVICE AND METHOD FOR PRODUCING STATIONARY MULTI-COMPONENT LIQUID CAPILLARY STREAMS AND MICROMETRIC AND NANOMETRIC SIZED CAPSULES**

(57) The present invention is related to the production of capsules or particles of micro and nanometric size, for introduction into food, using stable electrified coaxial jets of two immiscible liquids with diameters in the micro and nanometric range. An aerosol of charged structured droplets forms when the jets dissociate by capillary instabilities. The structured droplets, which are mono-dispersed in size, contain a first liquid (generally the material desired to be added) that is surrounded by a second liquid. Generally the second liquid provides a barrier or protective coating which allows the addition of the first liquid to a food product without adversely affecting the organoleptic or other properties of the food product.

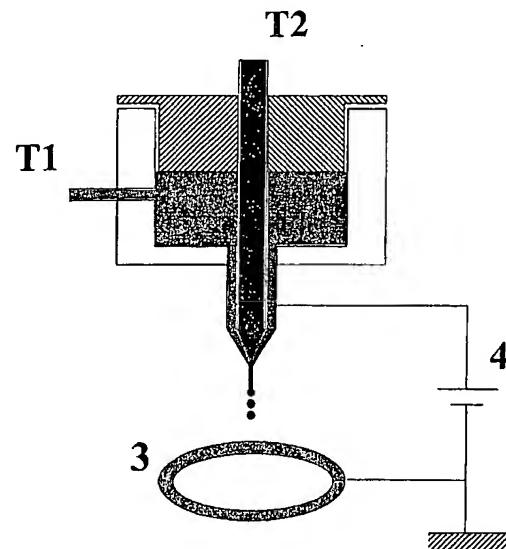


Figure 1

**Description****OBJECT OF THE INVENTION**

5 [0001] The object of the present invention is a procedure to generate electrified compound jets of several immiscible liquids with diameters ranging from a few tens of nanometers to hundred of microns as well as the relatively monodisperse aerosol of compound droplets resulting from the break up of the jets by varicose instabilities. An outer liquid enclosing an inner one (or several ones) is the typical structure of such droplets.

10 [0002] Liquids are injected at appropriate flow rates throughout metallic needles connected to high voltage supplies. The needles can be arranged either concentrically or one of them surrounding the others. Moreover, if the electrical conductivity of one or more liquid is sufficiently high, then the liquid can be charged through its bulk. In that case a non-metallic needle (i.e. silica tube) can be used to inject the liquid.

15 [0003] The device and procedure of the present invention are applicable to fields such as Material Science, Food Technology, Drug Delivery, etc. In fact, this procedure can be of interest in any field or technological application where the generation and control of compound jets of micro and nanometric size play an essential role of the process.

**STATE OF THE ART**

20 [0004] In this invention, the electro hydrodynamic (EHD) forces are used to generate coaxial jets and to stretch them out to the desired sizes. For appropriate operating conditions, a liquid flow rate, in the form of a micro/nanometric-sized jet, is issued from the vertex of a Taylor cone. For appropriate operating conditions, a liquid flow rate, in the form of a micro/nanometric jet, is issued from the vertex of a Taylor cone. The break up of this jet gives rise to an aerosol of charged droplets, which is called electrospray. This configuration is widely known as electrospray in the cone-jet mode (M. Cloupeau and B. Prunet-Foch, *J. Electrostatics*, **22**, 135-159, 1992). The scaling laws for the emitted current and the droplet size of the electrospray are given in the literature (J. Fernández de la Mora & I. G. Loscertales, *J. Fluid Mech.* **260**, 155-184, 1994; A.M. Gañán-Calvo, J. Dávila & A. Barrero, *J. Aerosol Sci.*, **28**, 249-275, 1997, A. M. Gañán-Calvo, *Phys. Rev. Lett.* **79**, 217-220, 1997; R.P.A. Hartman, D.J. Brunner, D.M.A. Camelot, J.C.M. Marijnissen, & B. Scarlett, *J. Aerosol Sci.* **30**, 823-849, 1999). Electrospray is a technique which has satisfactorily proved its ability to generate steady liquid jets and monodisperse aerosols with sizes ranging from a few nanometers to hundred of microns (I.G. Loscertales & J. Fernández de la Mora, *J. Chem. Phys.* **103**, 5041-5060, 1995.). On the other hand, in all reported electrospray experiments, a unique liquid (or solution) forms the Taylor cone, except in the procedure described in the US 5122670 patent (and sub-sequent patents: US4977785, US4885076, and US575183). In the first patent, "Multilayer flow electrospray ion source using improved sheath liquid (1991)", two or more miscible liquids are properly injected to be mixed in the Taylor cone to improve the transmission of ions, and the stability and sensitivity of a mass spectrometer.

25 [0005] The novelty of the present invention lies on the use of two or more immiscible liquids (or poorly miscible) to form, by means of EHD forces, a structured Taylor cone surrounded by a dielectric atmosphere (gas, liquid, or vacuum), see figure 1. An outer meniscus surrounding the inner ones forms the structure of the cone. A liquid thread is issued from the vertex of each one of the menisci in such a way that a compound jet of co-flowing liquids is eventually formed.

30 [0006] The structured, highly charged micro/nanometric jet, which is issued from the vertex of the Taylor cone, breaks up eventually forming a spray of structured, highly charged, monodisperse micro/nanometric droplets. The term *structured jet* as used herein refers to either quasi-cylindrical coaxial jets or a jet surrounding the others. The outer diameter of the jet ranges from 50 microns to a few nanometers. The term *spray of structured, highly charged, monodisperse, micro/nanometric droplets* as used herein refers to charged droplets formed by concentric layers of different liquids or

35 [0007] by an outer droplet of liquid surrounding smaller droplets of immiscible liquids (or emulsions). The outer diameter of the droplets ranges from 100 microns to a few of nanometers.

40 [0008] An advantage of the present invention lies on the fact that the resulting droplets have an uniform size, and that, depending of the properties of the liquids and the injected flow rates, such a size can be easily varied from tens of microns to a few nanometers.

45 [0009] Another advantage of this invention results from the fact that the jet break up gives rise to structured micro/nanometric droplets. In some particular applications, the outer liquid is a solution containing monomers, which under appropriate excitation polymerize to produce micro/nanometric capsules.

50 [0010] In those cases where uncharged droplets are required, the aerosol can be easily neutralized by corona discharge.

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**DESCRIPTION OF THE INVENTION**

[0011] The objects of the present invention are the procedure and the device to generate steady compound jets of

immiscible liquids and capsules of micro and nanometric size.

The device consists of a number  $N$  of feeding tips of  $N$  liquids, such that a flow rate  $Q_i$  of the  $i$ -th liquid flows through the  $i$ -th feeding tip, where  $i$  is a value between 1 and  $N$ . The feeding tips are arranged concentrically and each feeding tip is connected to an electric potential  $V_i$  with respect to a reference electrode. The  $i$ -th liquid that flows through the  $i$ -th feeding tip is immiscible or poorly miscible with liquids  $(i+1)$ -th and  $(i-1)$ -th. An electrified capillary structured meniscus with noticeable conical shape forms at the exit of the feeding tips. A steady capillary coaxial jet, formed by the  $N$  liquids, such that the  $i$ -th liquid surrounds the  $(i+1)$ -th liquid, issues from the cone apex. Furthermore, such capillary jet has a diameter ranging typically from 100 microns and 15 nanometers. This diameter is much smaller than the diameters of the feeding tips of the  $N$  liquids.

[0010] The feeding tips may be also arranged requiring that only the outer liquid surround the rest of the feeding tips. In this case, at the exit of the feeding tips, it is formed an electrified capillary meniscus with noticeable conical shape, whose apex issues an steady capillary compound jet formed by the  $N$  co-flowing liquids, in such a way that liquid 1 surrounds the rest of the liquids.

The  $N$  feeding tips of the device have diameters that may vary between 0,01mm and 5 mm.

The flow rates of the liquids flowing through the feeding tips may vary between  $10^{-17} m^3/s$  and  $10^{-7} m^3/s$ .

When the distance between the feeding tip and the reference electrode is between 0,01mm and 5cm, the applied electric potential has to be between 10 V and 30 KV.

[0011] In the particular case in which  $N=2$ , the device object of the present invention comprises:

- 20 a) A feeding tip 1 through which liquid 1 flows at a flow rate  $Q_1$ , and it is connected to an electric potential  $V_1$ .
- b) A feeding tip 2 through which liquid 2 flows at a flow rate  $Q_2$  and it is connected to an electric potential  $V_2$ .

Arranged such that the feeding tip 2 is surrounded by liquid 1 and such that  $V_1$  and  $V_2$  are differential values with respect to an electrode connected to a reference potential. Liquids 1 and 2 are immiscible or poorly miscible.

[0012] An electrified capillary meniscus with noticeable conical shape forms at the exit of the feeding tips. A steady capillary jet formed by liquids 1 and 2, such that liquid 1 completely surrounds liquid 2 issues from the cone apex. Such capillary jet has a diameter, which may be between 100 microns and 15 nanometers, which is smaller than the characteristic diameter of the electrified capillary liquid meniscus from which it is emitted.

[0013] The procedure object of the present invention will produce steady compound liquid jets and capsules of micro and nanometric size by flowing  $N$  flow rates  $Q_i$  of different liquids through each of the  $N$  feeding tips of the device previously described such that the  $i$ -th liquid which flows through the  $i$ -th feeding tip, surrounds the  $(i+1)$ -th feeding tip, and it is immiscible o poorly miscible with liquids  $(i-1)$ -th and  $(i+1)$ -th. At the exit of the feeding points it is formed an electrified capillary liquid meniscus with noticeable conical shape whose apex issues an steady capillary coaxial jet formed by the  $N$  liquids, such that the  $i$ -th liquid surrounds the  $(i+1)$ -th liquid. Such capillary jet has a diameter, which may be between 100 microns and 15 nanometers. This diameter is considerably smaller than the characteristic diameter of the electrified capillary liquid meniscus from which is emitted. Capsules whose size may vary between 100 microns and 15 nanometers are formed after spontaneous jet break up.

[0014] Finally, they are also object of the present invention the multilayered capsules spontaneously formed after the break up of the capillary jet generated by the device and procedure here mentioned.

#### BRIEF DESCRIPTION OF THE FIGURE

[0015] Figure 1: Sketch of the device used to produce compound liquid jets of micro and nanometric size.

#### DETAILED DESCRIPTION OF THE INVENTION

[0016] On the foregoing, we described two possible configurations that allow setting up a flow of two immiscible liquids that, by the unique action of the electro hydrodynamic (EHD) forces, results in the formation of a steady, structured, micro/nanometric sized capillary jet. This structured micro/nanometric sized capillary jet is immersed in a dielectric atmosphere (immiscible with the outermost liquid forming the jet) that might be a gas, a liquid or vacuum.

[0017] The basic device used in both configurations comprises: (1) a mean to feed a first liquid 1 through a metallic tube  $T_1$ , whose inner diameter ranges approximately between 1 and 0,4 mm, respectively; (2) a mean to feed a second liquid 2, immiscible with liquid 1, through a metallic tube  $T_2$ , whose outer diameter is smaller than the inner diameter of  $T_1$ . In this case,  $T_1$  and  $T_2$  are concentric. The end of the tubes does not need to be located at the same axial position; (3) a reference electrode, a metallic annulus for instance, placed in front of the needle exits at a distance between 0,01

and 50 mm; the axis of the hole of the annulus is aligned with the axis of  $T_1$ ; (4) a high voltage power supply, with one pole connected to  $T_1$ , and the other pole connected to the reference electrode.  $T_1$  and  $T_2$  might not be connected to the same electric potential. All the elements are immersed in a dielectric atmosphere that might be a gas, a liquid immiscible with liquid 1, or vacuum. A part of the generated aerosol, or even the structured jet, may be extracted through the orifice in (3) to characterize it or to process it.

[0018] The EHD forces must act, at least, on one of the two liquids, although they may act on both. We term *driver liquid* the one upon which the EHD forces act to form the Taylor cone. In the first configuration, the *driver liquid* flows through the annular space left between  $T_1$  and  $T_2$ , whereas in the second configuration the *driver liquid* flows through  $T_2$ , and the second liquid flows through the annular gap between  $T_1$  and  $T_2$ . In any case, the electrical conductivity of the *driver liquid* must have a value sufficiently high to allow the formation of the Taylor cone.

[0019] Referring to the first configuration, when liquid 1 (the *driver liquid*) is injected at an appropriate flow rate  $Q_1$ , and an appropriate value of the electric potential difference is applied between  $T_1$  and (3) and, liquid 1 develops a Taylor cone, whose apex issues a steady charged micro/nanometric jet (steady cone-jet mode). The characteristic conical shape of the liquid meniscus is due to a balance between the surface tension and the electric forces acting simultaneously and the meniscus surface. The liquid motion is caused by the electric tangential stress acting on the meniscus surface, pulling the liquid towards the tip of the Taylor cone. At some point, the mechanical equilibrium just described fails, so that the meniscus surface changes from conical to cylindrical. The reasons behind the equilibrium failure might be due, depending on the operation regime, to the kinetic energy of the liquid or to the finite value of the liquid electrical conductivity. The liquid thus ejected due to the EHD force, must be continuously made up for an appropriate injection of liquid through  $T_1$  in order to achieve a steady state; let  $Q_1$  be the flow rate fed to  $T_1$ . The stability of this precursor state may well be characterized by monitoring the electric current / transported by the jet and the aerosol collected at (3). Depending on the properties of liquid 1 and on  $Q_1$ , the liquid motion inside the Taylor cone may be dominated by viscosity, in which case, the liquid velocity everywhere inside the cone is mainly pointing towards the cone tip. Otherwise, the flow inside the cone may exhibit strong re-circulations, which must be avoided to produce structured micro/nanometric jets. Provided the flow is dominated by viscosity, one may then proceed to form the structured micro/nanometric jet. To do that, one must continuously supply liquid 2 through  $T_2$ . The meniscus of liquid 2, which develops inside the Taylor cone formed by liquid 1, is sucked towards the cone tip by the motion of liquid 1.

Under certain operation conditions, which depend on the properties of both liquids (and on the liquid-liquid properties), the meniscus of liquid 2 may develop a conical tip from which a micro/nanometric jet is extracted by the motion of liquid 1. In this situation, there may exist regimes where the jet of liquid 2 flows coaxially with liquid 1. As before, liquid 2 must continuously be supplied to  $T_2$  (say at a flow rate  $Q_2$ ) in order to achieve a steady state.

[0020] When the device operates in the second configuration, the procedure is analogous, except that the motion of the *driver liquid* does not need to be dominated by viscosity.

[0021] Our experiments suggest that formation of coaxial liquid jets requires that the values of the surface tension of the different fluid pairs appearing in the problem satisfy the inequality  $\sigma_{ai} - \sigma_{ao} > \sigma_{oi}$ , where  $\sigma_{ai}$  is the surface tension of liquid 2 and the dielectric atmosphere,  $\sigma_{ao}$  is the surface tension of liquid 1 and the dielectric atmosphere, and  $\sigma_{oi}$  is the interfacial tension liquid 1-liquid 2, respectively.

[0022] To give an idea of the typical values of the different parameters appearing in the process, the next table collects experimental measurements of the electric current transported by the jet for different flow rates of the inner liquid keeping fixed the flow rate of the outer liquid.

| $Q_1 = 50 \mu\text{l}/\text{min}$ |      |      |      |      |      |      |
|-----------------------------------|------|------|------|------|------|------|
| $Q_2(\mu\text{l}/\text{min.})$    | 0.67 | 0.83 | 1.17 | 1.50 | 1.84 | 2.17 |
| $I(\mu\text{Amp.})$               | 1.1  | 1.3  | 1.5  | 1.7  | 1.9  | 2.0  |

[0023] Notice that in this example, corresponding to the case where  $Q_1$  is much larger than  $Q_2$ , the value of the current  $I$  follows the well-known electrospray law  $I \propto Q_2^{1/2}$ .

[0024] To produce nanometric capsules through the procedure of the present invention a photopolymer may be used as external liquid. Indeed, the break up of the structured jet by the action of capillary instabilities gives place to the formation of an aerosol of structured droplets which, under the action of a source of ultraviolet light, allows to encapsulate the inner liquid.

## 55 Claims

1. Device to produce steady compound multi-component liquid jets and micro and nanometric sized capsules, con-

sisting of a number  $N$  of feeding tips of  $N$  liquids, such that the  $i$ -th liquid is injected at a flow rate  $Q_i$  through the  $i$ -th tip, where  $i$  varies between 1 and  $N$ . The feeding tips are arranged such that the  $(i-1)$ -th liquid surrounds the  $i$ -th tip, and each tip is connected to an electrical potential  $V_i$  with respect to a reference electrode. The  $i$ -th liquid, which flows trough the  $i$ -th tip, is immiscible or poorly miscible with liquids  $(i+1)$ -th and  $(i-1)$ -th. An electrified capillary liquid meniscus with a noticeable conical shape forms at the feeding points exit in such a way that from the cone apex issues a steady capillary jet made up of the  $N$  liquids, such that the  $(i-1)$ -th liquid surrounds the  $i$ -th liquid, and such that the diameter of the capillary jet has a value between 100 microns and 15 nanometers which is much smaller than the characteristic diameter of the liquid meniscus from which the jet is emitted.

5 2. Device to produce steady compound multicomponent liquid jets and micro and nanometric sized capsules, consisting of a number  $N$  of feeding tips of  $N$  liquids, such that the  $i$ -th liquid is injected at a flow rate  $Q_i$  through the  $i$ -th tip, where  $i$  varies between 1 and  $N$ . The feeding tips are arranged such that liquid 1 surrounds all the other feeding points. Liquid 1 is immiscible or poorly miscible with the rest of liquids. Each feeding point is connected to an electrical potential  $V_i$ , where  $i$  varies from 1 to  $N$ , respect to a reference electrode. An electrified capillary liquid meniscus with a noticeable conical shape forms at the feeding points exit in such a way that from the cone apex issues a steady capillary jet made up of the  $N$  liquids, so that liquid 1 surrounds the rest of liquids, and such that the diameter of this capillary jet has a value between 100 microns and 15 nanometers which is much smaller than the characteristic diameter of the electrified liquid meniscus from which the jet is emitted.

10 3. Device to produce steady compound multicomponent liquid jets and micro and nanometric sized capsules of claims 1 and 2, where the diameters of the  $N$  feeding tips have values between 0,01 mm and 5 mm.

15 4. Device to produce steady compound multicomponent liquid jets and micro and nanometric sized capsules of claims 1-3, where the flow rate of the liquid flowing through the outermost feeding tip has a value between  $10^{-17} m^3/s$  and  $10^{-7} m^3/s$ , and where the flow rates of the liquids flowing through each of the other feeding tips have values between  $10^{-17} m^3/s$  and  $10^{-7} m^3/s$ .

20 5. Device to produce steady compound multicomponent liquid jets and micro and nanometric sized capsules of claims 1-4, characterized such that for a separation between a feeding tip and the electrode of reference of a value between 0,01 mm and 5 cm, the applied electric potential has to be between 10 volts and 30 Kvolts.

25 6. Device to produce steady compound bi-component liquid jet and micro and nanometric sized capsules of claims 1-5, where the number of feeding points  $N = 2$ , comprising:

30 a) A first feeding tip 1 through which a liquid 1 flows at a rate  $Q_1$  connected to an electric potential  $V_1$ ,  
b) A second feeding tip 2 through which a liquid 2 flows at a rate  $Q_2$  connected to an electric potential  $V_2$

35 such that the feeding tip 2 is surrounded by liquid 1, and the values of  $V_1$  and  $V_2$  are differential values with respect to a reference electrode connected to a reference potential, such that liquids 1 and 2 are immiscible or poorly miscible, forming at the exit of the feeding tips an electrified capillary liquid meniscus with a noticeable conical shape, whose apex issues an steady capillary jet formed by both liquids 1 and 2, such that liquid 1 completely surrounds liquid 2, and such that the diameter of the jet has a value between 100 microns and 15 nanometers which is smaller than the characteristic diameter of the electrified capillary liquid meniscus from which it is emitted.

40 7. Procedure to generate steady compound multicomponent liquid jets and micro and nanometric sized capsules of claims 1, 3, 4 and 5, such that an  $i$ -th liquid at a flow rate  $Q_i$  flows through the  $i$ -th tip, where  $i$  varies between 1 and  $N$ , and each tip is connected to an electrical potential  $V_i$  with respect to a reference electrode. The  $i$ -th liquid, which flows trough the  $i$ -th tip, is immiscible or poorly miscible with liquids  $(i+1)$ -th and  $(i-1)$ -th. An electrified capillary liquid meniscus with a noticeable conical shape forms at the feeding points exit in such a way that from the cone apex issues a steady capillary jet made up of the  $N$  liquids, such that the  $(i-1)$ -th liquid surrounds the  $i$ -th liquid, and such that the diameter of the capillary jet has a value between 100 microns and 15 nanometers which is much smaller than the characteristic diameter of the liquid meniscus from which the jet is emitted. The spontaneous break up of the jet thus forming capsules with diameters between 100 microns and 15 nanometers.

45 8. Procedure to generate steady compound multicomponent liquid jets and micro and nanometric sized capsules of claims 2, 3, 4 and 5, such that an  $i$ -th liquid at a flow rate  $Q_i$  flows through the  $i$ -th tip, where  $i$  varies between 1 and  $N$ . The feeding tips are arranged such that liquid 1 surrounds all the other feeding points. Liquid 1 is immiscible or poorly miscible with the rest of liquids. Each feeding point is connected to an electrical potential  $V_i$ , where  $i$  varies

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from 1 to  $N$ , respect to a reference electrode. An electrified capillary liquid meniscus with a noticeable conical shape forms at the feeding points exit in such a way that from the cone apex issues a steady capillary jet made up of the  $N$  liquids, so that liquid 1 surrounds the rest of liquids, and such that the diameter of this capillary jet has a value between 100 microns and 15 nanometers which is much smaller than the characteristic diameter of the electrified liquid meniscus from which the jet is emitted. The spontaneous break up of the jet thus forming capsules with diameters between 100 microns and 15 nanometers.

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9. Multicomponent and/or multilayered capsules with diameters comprised between 1000 microns and 15 nanometers, resulting from the break up of the jet generated by the procedures described in claims 7 and 8.

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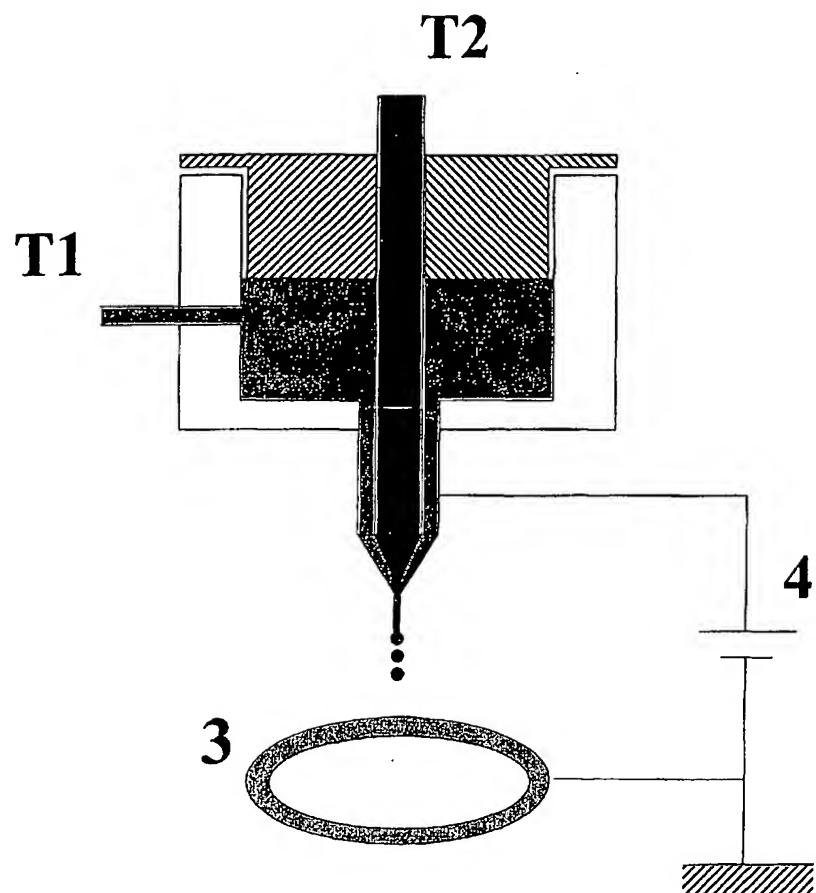


Figure 1

| INTERNATIONAL SEARCH REPORT  |  | International application No.<br>PCT/ES02/00047                                       |
|--|--|---|
| <b>A. CLASSIFICATION OF SUBJECT MATTER</b><br><b>B05B 5/16, 1/06, 7/06, 7/08, B01J 13/04</b><br>According to International Patent Classification (IPC) or to both national classification and IPC  |  |   |
| <b>B. FIELDS SEARCHED</b><br>Minimum documentation searched (classification system followed by classification symbols)<br><b>B05B, B01J</b>  |  |   |
| Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched  |  |   |
| Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)<br><b>EPODOC, WPI, PAJ, CIBEPAT</b>   |  |   |
| <b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>  |  |   |
| Category*  | Citation of document, with indication, where appropriate, of the relevant passages   | Relevant to claim No.   |
| X  | WO 99 30832 A1 (UNIVERSIDAD DE SEVILLA) 24.06.1999<br>See page 12, line 11- line 14; page 20, line 15 - page 25, line 2; figure 2  | 1 - 9   |
| Y  | CH 563 807 A5 (BATELLE MEMORIAL INSTITUTE) 15.07.1975<br>See column 1, line1-column 3, line 50; column 4, line 19-line 54; column 5, line 46-column 6, line 62; claims 6; figures 2                            | 1 - 9   |
| Y  | FR 2 776 538 A1 (CENTRE NATIONAL DE LA RECHERCHE SCIENTIFIQUE CNRS) 01.10.1999<br>See abstract; page 1, line 1, -page 5, line 14; page 8, line 9-page 12, line 20; page 18, line 14-page 19, line 22; figure 1 | 1 - 9   |
| <input type="checkbox"/> Further documents are listed in the continuation of Box C. <input type="checkbox"/> See patent family annex.  |  |   |
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| Date of the actual completion of the international search<br><b>14 May 2002 (14.05.2002)</b>   |  | Date of mailing of the international search report<br><b>21 May 2002 (21.05.2002)</b> |
| Name and mailing address of the ISA/   |  | Authorized officer  |
| Facsimile No.  |  | Telephone No.   |

| INTERNATIONAL SEARCH REPORT<br>Information on patent family members |                     |   | International Application No<br>PCT/ES02/00047       |
|---|---------------------|---|--|
| Patent document<br>cited in search report                           | Publication<br>date | Patent family<br>member(s)  | Publication<br>date                                  |
| WO 99 30832   | 24/06/1999          | AU 15728 99 A<br>CA 2 314 979<br>EP 1 037 712<br>JP 2002 508243 T | 05/07/1999<br>24/06/1999<br>27/09/2000<br>19/03/2002 |
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| FR 2 776 538  | 01/10/1999          | AU 29405 99<br>EP 1 064 100<br>WO 99 49981                        | 18/10/1999<br>03/01/2001<br>07/10/1999               |